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## Vibrational spectra of quercetin and their interpretation with quantum-mechanical density-functional method

<sup>1</sup> Kutovyy S., <sup>1</sup> Savchuk R., <sup>1</sup> Bashmakova N., <sup>1</sup> Stanovyi O. and  
<sup>2</sup> Palchykivska L.

<sup>1</sup> Faculty of Physics, Taras Shevchenko National University of Kyiv, 64/13  
Volodymyrska Street, 01601 Kyiv, Ukraine, sangulaire@gmail.com

<sup>2</sup> Department of Molecular and Quantum Biophysics, Institute of Molecular Biology  
and Genetics, National Academy of Sciences of Ukraine, 150 Academician  
Zabolotnyi Street, 03143 Kyiv, Ukraine.

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**Abstract.** Experimental vibrational (Raman and IR-absorption) spectra are obtained for microcrystalline powder of quercetin in the spectral range of 400–1800 cm<sup>-1</sup> at the room temperature. Optimized geometries of two stable isomers of quercetin molecule are calculated with a density-functional method at the level CAM B3LYP/6-311++G(d,p). The isomers have an almost planar frame structure and differ by mirror orientations of one of the rings with respect to the other rings. Vibrational spectra of the isomers are calculated in harmonic approximation at the same level of theory. The scaling factors determined experimentally for each of the two isomers have been used when comparing the calculated and experimental data. The vibrational spectra are interpreted in the whole frequency range under test. Good correlation of the experimental and calculated vibrational spectra is obtained.

**Keywords:** quercetin, Raman spectra, infrared absorption spectra, density-functional method

**UDC:** 535.37; 535.58

### 1. Introduction

Quercetin is a plant flavonol of a flavonoid subgroup of polyphenols, which contains more than 6000 known compounds [1, 2]. According to the literature data, quercetin is ubiquitously found in different plants (in particular, leaves) and food, in particular in vegetables and fruits, olive oil and table olives, grape and wine, onion, tea, apple, flowers, and seeds. It reveals a broad range of biological effects [3–5]. Like the other flavonoids, quercetin is active in balancing cellular reactive-oxygen level and manifests a cyto-protective function [6].

Quercetin has a broad range of therapeutic properties useful for health of humans, in particular anti-oxidant, anti-toxic, anti-cancer, anti-viral, anti-diabetic, anti-inflammation and cardiovascular effects. It is also involved in drug delivery, with therapeutic targets [7–13].

The anti-oxidant activity of quercetin, which even exceeds that of such well-known anti-oxidant molecules as rutin, ascorbyl and trolox, can be explained by several reasons: its ability to reduce formation of free radicals via catching them; capability to transport protons or electrons; formation of intramolecular hydrogen bonds; formation of stable radicals due to availability of hydroxyaromatic groups at each of three rings, and extensive electronic delocalization spreading over the whole quercetin molecule [14–19].

The vibrational spectra of quercetin have been earlier investigated using both Raman and IR-absorption spectroscopy methods [20–31]. However, no exhaustive interpretation of the spectra

has been given in the above works. Nonetheless, the latter information would be very important when studying the mechanisms of binding quercetin to nucleic acids.

In the present work, we report the experimental vibrational (Raman and IR absorption) spectra of microcrystalline quercetin in the range of 400–1800  $\text{cm}^{-1}$ . Together, we calculate the vibrational spectra with a density-functional method for the two stable planar isomers of quercetin, and then compare the experimental data with the theory.

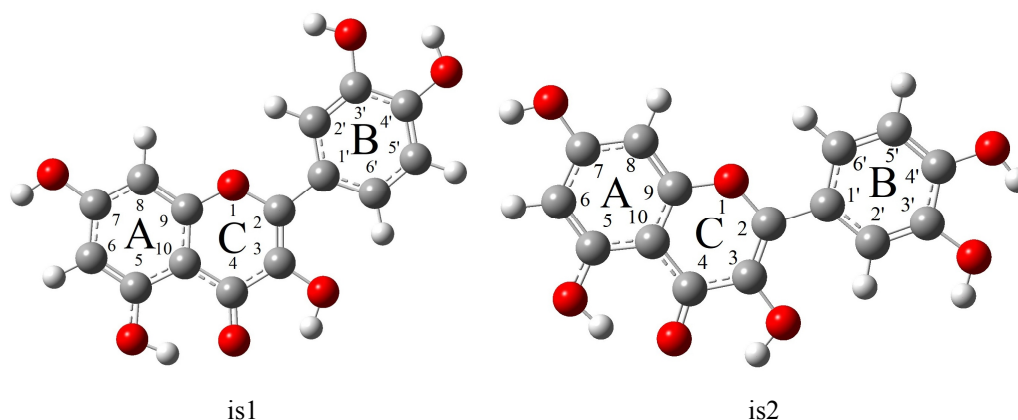
## 2. Materials and methods

### 2.1. Quercetin

According to nomenclature of the International Union of Pure and Applied Chemistry, quercetin is termed as 3, 3', 4', 5, 7-pentahydroxyflavanone. Its chemical formula is  $\text{C}_{15}\text{H}_{10}\text{O}_7$  [6]. The structure of quercetin molecule contains two aromatic rings A and B linked through a ring C containing oxygen, and a number of hydroxyl OH-groups attached to the positions 3, 3', 4', 5 and 7 (see Fig. 1). The substance is poorly soluble in water.

The structural data of a quercetin crystals ( $\text{C}_{15}\text{H}_{10}\text{O}_7 \cdot 2\text{H}_2\text{O}$ , with the triclinic symmetry P1 and coordination number  $z = 2$ ) has been obtained with X-ray diffraction. Besides, it has been demonstrated that conformational mobility of the quercetin molecule gives rise to polymorphism of the corresponding crystals. This polymorphism can be controlled using different methods for growing single crystals (anhydrous, monohydrate and dihydrate) or co-crystals [32–37].

Quantum-mechanical studies of the structure of quercetin have been reported in Refs. [34, 38–44]. According to the studies of spatial structure of quercetin isomers [45], the quercetin molecule is either flat or almost flat for different isomers, while deviation from planarity occurs only for the ring B, which is rotated with respect to the C2–C1' axis. We have determined optimized geometry of the two stable isomers of the quercetin molecule (referred to as is1 and is2 further on), using the density-functional method at the CAM B3LYP/6-311++G(d,p) level. No structural restrictions have been imposed. The spatial structures of the isomers are displayed in Fig. 1.



**Fig. 1.** Optimized spatial structures of the isomers is1 and is2 of quercetin molecule, as calculated with density-functional CAM method at the level B3LYP/6-311++G(d,p). Numbers of atoms correspond to standard notation. Atoms C are denoted as grey circles, atoms O as red circles, and atoms H as small grey circles.

### 2.2. Experiments

The microcrystalline powder of quercetin (302 g/Mole from *Borshchahivskiy CPP*, Ukraine) was used in our Raman scattering and IR-absorption experiments. When preparing samples for the Raman analysis, quercetin powder was placed on a high-quality calcium fluoride plate ( $\text{CaF}_2$  from

*Crystran Ltd*, Poole, UK). This was done in order to minimize any possible spectral contributions from a sample substrate. The Raman spectra were recorded using a custom-made inverted Raman microscope equipped with a 40<sup>x</sup> objective (water immersion and numerical aperture equal to 1.0).

Since the long-wavelength absorption edge for quercetin lies in the region  $\sim 500$  nm [23], a 785-nm continuous-wave Ti:sapphire tunable laser (*Coherent*) was employed for exciting a sample. This provided a laser-power density of  $30 \text{ mW}/\mu\text{m}^2$  at the sample. A back-scattered light from the sample was collected by an objective and filtered using two laser-blocking filters (*RazorEdge 0° Longpass filter*, Semrock). The Raman-scattered light was focused onto an entrance slit of a monochromator (*IsoPlane 320*, Princeton Instruments) and set at  $30 \mu\text{m}$  in order to reject off-focus light and obtain high spectral resolution. The monochromator was equipped with a 600 line/mm diffraction grating.

Finally, the spectra were acquired using a back-illuminated CCD (*PyLoN:400BR-eXcelon CCD*, Princeton Instruments) cooled cryogenically at  $-120^\circ\text{C}$ . To improve signal-to-noise ratio, a signal accumulation mode was used, with the exposure time amounting to 30 s. The measurements were performed at the room temperature in the spectral range  $350\text{--}2000 \text{ cm}^{-1}$ , with the spectral resolution not worse than  $2 \text{ cm}^{-1}$ .

Fourier-transformed infrared absorption spectra in the mid-IR range were measured with a *Thermo Scientific Nicolet iS50* spectrometer. The samples were probed in a microcrystalline phase, using a single-bounce attenuated total-reflection technique. The appropriate powders were mechanically pressed on a diamond surface. The spectra were measured in the range  $375\text{--}2000 \text{ cm}^{-1}$ , with the maximum spectral resolution being slightly less than  $1 \text{ cm}^{-1}$ . Built-in attenuated total reflection corrections were used to compensate for the effective path length of evanescent wave over the entire wavelength range.

In some cases, the experimental spectra were processed using *Origin* and *PeakFit* programs. This enabled correcting the data with respect to the band positions, the number of band components, etc.

### 2.3. Calculations

Optimized geometries of the stable isomers is1 and is2 of the quercetin molecule were calculated with the density-functional method at the CAM B3LYP/6-311++G(d,p) level. No structural restrictions were imposed in our calculation procedures (see Fig. 1). The two isomers differ by the mirror orientations of the ring B with respect to the rings A and C. At the same level of theory, their vibrational (both Raman and IR-absorption) spectra were calculated in a harmonic approximation. The density-functional calculations were performed using a *Gaussian09* program package [46]. Our calculations demonstrated that the isomers of the quercetin molecule have indeed an almost planar frame structure, and deviations from the planarity are practically absent.

Since the density-functional technique usually overestimates the frequencies, the scaling factors of 0.976 (for is1) and 0.974 (for is2) were used when comparing the calculated and experimental data. This correction can easily be understood following from the known errors present in the calculations of interatomic interactions due to a limited set of basic functions [47]. Usually the experimental and calculated Raman spectra are more similar to each other than the IR-absorption spectra. As a consequence, they were more convenient when finding the correspondence among the experimental and calculated frequencies and, therefore, determining the scaling factors. The values 0.976 (is1) and 0.974 (is2) provided the best correspondence between the calculated and experimental data. The scaling factors proved to be close to unity in our case, thus indicating that the basic set used by us was sufficient for the molecule under study.

The frequencies and the relative intensities of the spectral lines with regard for the corrections mentioned above are presented in Table, along with a detailed interpretation of all of the vibrations.

**Table.** Experimental and calculated data obtained for the Raman and IR–absorption spectral lines of quercetin, and interpretation of appropriate vibrations.

Experimental data		Calculated data			Interpretation of vibration modes
Raman [28] [26] [21] IR [27] [20] [21]	Our data $\omega$ , cm <sup>-1</sup> Raman IR	Correction 0.976/0.974 Normalized to 10	Any correction		
1	2	3	4	5	
	382	372.8/372.6 (vw)/(vw) (0.9)/(0.4)	382/382.5 (0.8)/(1.6) (44)/(27)		in-plane: $\nu$ - $\delta$ of all rings and connected O and H (with rigid bonds); strong p of O (C3, C4) in antiphase
	—	395.3/394.0 (vw)/(vw) (vw)/(vw)	405/404.5 (0.1)/(0.03) (1.3)/(1.7)		out-of-plane: $\delta$ of all rings (most strong r. C) and connected O and H (with rigid bonds)
	412	398.2/397.4 (0.1)/(0.1) (0.1)/(vw)	408/408 (7.5)/(7.0) (5.8)/(1.5)		out-of-plane: strong $\delta$ of r. A and C, and connected O and H (with rigid bonds); small $\delta$ of r. B and connected O and H
	—	426.5/428.1 (vw)/(vw) (1.6)/(1.0)	437/439.5 (0.3)/(0.5) (75)/(67.5)		out-of-plane: small $\delta$ of r. B and connected O and H (with rigid bonds); strong p of H (OC4')
	462	449.9/451.0 (vw)/(vw) (0.1)/(0.2)	461/463 (1.4)/(1.0) (5.7)/(13.5)		out-of-plane: $\delta$ of r. B and connected O and H (with rigid bonds)
	—	452.9/453.4 (vw)/(vw) (vw)/(vw)	464/465.5 (0.9)/(1.5) (0.2)/(2.5)		in-plane: strong $\delta$ of all rings and connected O and H (with rigid bonds)



1	2	3	4	5
	491 488	478.7/480.2 (0.1)/(vw) (0.2)/(0.6)	490.5/493.0 (11)/(6.0) (7.7)/(40.0)	in-plane: strong $\delta$ of r. B (r. A and C – weaker) and connected O and H (with rigid bonds)
522	522.5 –	521.2/519.1 (0.1)/(0.1) (0.4)/(0.2)	534/533.0 (6)/(8.5) (19.5)/(13.5)	in-plane: strong $\nu$ - $\delta$ of r. A and C (r. B – weaker) and connected O and H (with rigid bonds)
	552vw –	530.9/542.0 (vw)/(vw) (0.6)/(0.2)	544/556.5 (0.6)/(0.5) (30)/(12.5)	out-of-plane: weak $\delta$ of all rings; strong p of H (OC3); weak p of other H; all O almost immobile
	– –	568.0/566.4 (vw)/(vw) (1.6)/(vw)	582/581.5 (1.1)/(3.5) (78)/(1.0)	out-of-plane: weak $\delta$ of all rings (C2 and C7' – weaker if compared with 544); strong p of H (OC3); weak p of other H; all O almost immobile
579 –	578 576	574.9/570.3 (0.1)/(vw) (vw)/(1.4)	589/585.5 (16)/(2.0) (0.8)/(97.5)	in-plane: strong $\nu$ - $\delta$ of all rings (most strong r. A and B) and connected O and H (with rigid bonds)
	– –	588.5/591.7 (vw)/(0.1) (0.2)/(0.3)	603/607.5 (0.1)/(10.0) (9.7)/(20.5)	in-plane: strong $\nu$ - $\delta$ of all rings and connected O and H (with rigid bonds)
605 604 601	604 600	602.7/596.1 (0.3)/(0.3) (0.6)/(0.3)	617.5/612.0 (28)/(34.0) (31)/(22.5)	in-plane: strong $\nu$ - $\delta$ of all rings (most strong r. B) and connected O and H (with rigid bonds)
	– –	606.6/606.8 (vw)/(vw) (vw)/(vw)	621.5/623.0 (0.1)/(0.2) (1.3)/(2.0)	out-of-plane: $\delta$ of r. A (most strong C6 and C9) and connected O and H (with rigid bonds); small $\delta$ of r. C and B
	– –	632.9/633.1 (vw)/(vw) (0.2)/(0.1)	648.5/650.0 (1.1)/(1.5) (9.4)/(9.0)	out-of-plane: $\delta$ of r. A (most strong C7) and connected O and H (with rigid bonds); small $\delta$ of r. C and B

1	2	3	4	5
640 637	642 637	638.8/638.0 (0.2)/(0.1) (0.4)/(0.2)	654.5/655.0 (18)/(11.5) (17)/(16.5)	in-plane: strong $\nu$ - $\delta$ of r. A and C and connected O and H (with rigid bonds); r. B – weaker
662 –	661 654.5	657.3/657.0 ( $\nu\nu$ )/( $\nu\nu$ ) ( $\nu\nu$ )/( $\nu\nu$ )	673.5/674.5 (3.1)/(2.0) (0.7)/(0.2)	out-of-plane: weak $\delta$ of all rings; p of all H; all O almost immobile
	–	680.3/679.9 ( $\nu\nu$ )/( $\nu\nu$ ) (0.1)/(0.1)	697/698.0 (1.7)/(1.0) (6.2)/(5.5)	out-of-plane: weak $\delta$ of all rings; weak p of all H; all O almost immobile
686 678	685.5 670	683.7/688.1 (0.1)/(0.1) ( $\nu\nu$ )/( $\nu\nu$ )	700.5/706.5 (9.5)/(8.5) (0.7)/(15.0)	in-plane: strong $\nu$ - $\delta$ of all rings and connected O and H (with rigid bonds)
	707.5 –	706.6/706.6 ( $\nu\nu$ )/( $\nu\nu$ ) ( $\nu\nu$ )/( $\nu\nu$ )	724/725.5 (2.5)/(3.5) (1.3)/(1.5)	out-of-plane: $\delta$ of r. B; p of H r. B; small $\delta$ of r. A and C
722 721 724	722.5 720.5	714.0/713.5 ( $\nu\nu$ )/( $\nu\nu$ ) ( $\nu\nu$ )/( $\nu\nu$ )	731.6/732.5 (0.1)/(1.0) (0.2)/(1.0)	out-of-plane: $\delta$ of r. A and C; p of H r. A; strong p of H (C6,OC5,OC4)
	–	714.2/713.9 ( $\nu\nu$ )/( $\nu\nu$ ) (0.1)/( $\nu\nu$ )	731.8/733.0 (0.5)/(0.5) (5.2)/(0.1)	in-plane: strong $\nu$ - $\delta$ of r. A and C; r. B – weaker; $\nu$ - $\delta$ of all O; strong p of H of all rings (with rigid bonds)
	–	770.1/766.5 ( $\nu\nu$ )/( $\nu\nu$ ) (2.8)/(1.9)	789/787.0 (0.7)/(0.5) (133)/(133.0)	out-of-plane: small $\nu$ of r. A; strong p of H (OC5)
785 785 –	785.5 782.5 794.5	794.5/796.2 (0.4)/(0.4) (0.7)/(0.4)	814/817.5 (39)/(45.5) (33)/(27.0)	in-plane: strong $\nu$ - $\delta$ of r. B and O (C3',C4'); small of r. A and C

1	2	3	4	5
797		813.5/815.2 (vw)/(vw) (0.8)/(0.6)	833.5/837.0 (0.1)/(0.1) (39)/(40.5)	out-of-plane: small $\nu$ of r. A; strong p of H r. A
	824 816 820sh	819.4/819.6 (vw)/(vw) (0.1)/(vw)	839.5/841.5 (0.02)/(0.1) (2.8)/(0.01)	out-of-plane: small $\delta$ of r. A; p of H r. A
823		838.4/831.3 (vw)/(vw) (0.3)/(0.4)	859/853.5 (0.02)/(0.1) (16)/(25.5)	out-of-plane: small $\delta$ of r. B; strong p of H r. B
843 844	844 840	841.3/844.5 (0.2)/(0.1) (0.5)/(vw)	862/867.0 (24)/(18.0) (26)/(2.0)	in-plane strong $\nu$ - $\delta$ of r. C and B; r. A – weaker; strong $\nu$ of O (C3', C4'); small $\nu$ of O (C5, C7); strong of H r. C and B (with rigid bonds)
865	866vw 864	868.2/880.0 (vw)/(vw) (0.8)/(0.4)	889.5/903.5 (0.3)/(0.5) (38)/(31.0)	out-of-plane: small $\delta$ of r. B; strong p of H (C2'); small of H (C5', C6')
	901vw 877 884			
942 941	942.5 931 938 936.5	939.9/931.6 (0.2)/(0.1) (0.1)/(0.2)	963/956.5 (22)/(19.0) (4.8)/(17.0)	in-plane: strong $\nu$ - $\delta$ of all rings; $\nu$ - $\delta$ of O of r. C and B; O (C5, C7) – immobile; p of H; H (OC7) – immobile
	– –	969.2/958.4 (vw)/(vw) (vw)/(vw)	993/984.0 (0.5)/(0.5) (vw)/(0.5)	out-of-plane: small $\delta$ of r. B; strong p of H (C5', C6')
995	995 –	999.4/1001.3 (0.2)/(0.2) (0.5)/(0.5)	1024/1028.0 (21)/(22.0) (25)/(32.0)	in-plane: strong $\nu$ - $\delta$ of all rings, very strong – C6 and C8; $\nu$ - $\delta$ of O1, O (C7, C4'); O (C5, C4, C3, C3') – almost immobile; strong p of H (C8, C6', C4'), bonds H-C6, H-C8, H-C2', H-C6' – rigid

1	2	3	4	5
-	1013 1013.5	1018.0/1013.4 (0.1)/(0.1) (1.3)/(0.7)	1043/1040.5 (12)/(15.5) (61)/(51.0)	in-plane: strong $v-\delta$ of all rings; weak $v-\delta$ of O; strong p of H(C), weak H(O)
1090	1094 1092	1100.9/1099.6 (0.2)/(0.1) (1.1)/(0.5)	1128/1129.0 (21)/(6.5) (51)/(37.5)	in-plane: strong $v-\delta$ of r. A and C; r. B – weaker; strong $v-\delta$ of O of r. A and C, weak – of r. B; strong p of H of all rings
1114w	1113 1110sh	1117.0/1109.4 (0.1)/(0.2) (1.8)/(2.3)	1144.5/1139.0 (9)/(25.5) (86)/(162.0)	in-plane: $v-\delta$ of all rings (r. B – strong); O (C3', C4') – strong $v-\delta$ , others O – weak; strong p of H (OC3', OC4', C2') r. B, others H – weak
	-	1124.4/1131.8 (0.1)/(vw) (1.2)/(vw)	1152/1162.0 (11)/(2.5) (57)/(2.0)	in-plane: strong $v-\delta$ of all rings; strong $v-\delta$ of O1; strong v of O (C5)
1130	1137 1130	1151.7/1150.3 (0.2)/(vw) (2.7)/(6.2)	1180/1181.0 (22)/(2.5) (130)/(434.5)	in-plane: $v-\delta$ of all rings (decreasing from r. B to r. A); strong p of H of r. B; $v-\delta$ of O1 and O (C4')
	-	1154.1/1153.7 (0.3)/(0.4) (5.8)/(0.3)	1182.5/1184.5 (35)/(48.5) (278)/(18.5)	in-plane: $v-\delta$ of all rings (decreasing from r. A to r. B); strong p of H of r. A; strong $v-\delta$ of O (C7)
	-	1175.1/1170.7 (vw)/(vw) (0.3)/(0.2)	1204/1202.0 (2)/(3.5) (14)/(16.5)	in-plane: $v-\delta$ of all rings; weak $v-\delta$ of all O; strong p of H of all rings
1175w 1169 1168	1175.5 164.5	1186.8/1183.9 (0.9)/(1.0) (3.4)/(2.0)	1216/1215.5 (97)/(126.5) (165)/(143.5)	in-plane: weak $v-\delta$ of all rings; weak $v-\delta$ of all O; strong p of H of r. B, the other weak
-	1193vw 1193	1210.2/1202.9 (0.6)/(0.5) (6.9)/(3.8)	1240/1235.0 (70)/(58.5) (329)/(265.0)	in-plane: $v-\delta$ of all rings (r. C – strong); O1 – strong $v-\delta$ , the others O – weak; p of H of all rings

1	2	3	4	5
-	1216 1216	1244.4/1242.8 (0.3)/(0.2) (0.9)/(0.4)	1275/1276.0 (28)/(23.0) (44)/(28.5)	in-plane: $\nu$ - $\delta$ of all rings; p of H of all rings; weak $\nu$ - $\delta$ of O
-	- 1238	1247.3/1254.0 (0.1)/(0.4) (0.8)/(1.3)	1278/1287.5 (9)/(45.0) (38)/(93.5)	in-plane: $\nu$ - $\delta$ of all rings; p of H of all rings; weak $\nu$ of O
-	1268 1262	1275.1/1271.6 (0.1)/(vw) (10.0)/(6.1)	1306.5/1305.5 (10)/(5.0) (479)/(429.5)	in-plane: $\nu$ - $\delta$ of all rings; p of H of all rings; weak $\nu$ - $\delta$ of O
	1268 1283	1293.7/1301.3 (0.2)/(vw) (3.5)/(0.1)	1325.5/1336.0 (20)/(4.5) (170)/(6.0)	in-plane: strong $\nu$ - $\delta$ of r. B; weak of r. A and C; strong p of H of r. B; weak p of H of r. A and C; $\nu$ of O (C3', C4')
	1293 1304sh	1309.8/1310.5 (0.1)/(0.1) (vw)/(1.7)	1342/1345.5 (7)/(11.5) (0.1)/(120.0)	in-plane: strong $\nu$ - $\delta$ of r. B; weak of r. A and C; strong p of H of r. B; weak p of H of r. A and C; all O – very weak
-	1315 -	1333.2/1326.6 (0.6)/(2.0) (2.5)/(3.0)	1366/1362.0 (62)/(249.5) (122)/(209.0)	in-plane: strong $\nu$ - $\delta$ of r. A and C; r. B – weak; weak of O of r. A and C; strong p of H
1328 1328 1325	1318 1315	1335.7/1332.4 (6.1)/(2.7) (8.2)/(5.7)	1368.5/1368.0 (681)/(337.5) (391)/(400.5)	in-plane: weak $\nu$ - $\delta$ of all rings; strong $\nu$ C2–C1'; strong p of H r. C and B
-	1370 1371 1383	1376.6/1374.3 (0.6)/(2.6) (1.2)/(0.7)	1410.5/1411.0 (62)/(331.5) (59)/(51.0)	in-plane: $\nu$ - $\delta$ of all rings; weak $\nu$ - $\delta$ of O; p and $\delta$ of H
	-	1391.8/1385.5 (1.1)/(0.5) (1.2)/(1.1)	1426/1422.5 (123)/(68.5) (56)/(75.0)	in-plane: strong $\nu$ - $\delta$ of r. A and C; r. B – weaker; p of H of all rings; weak $\nu$ - $\delta$ of O (C5, C1, C4')

1	2	3	4	5
1398 1400 1398 –	1400 –	1405.0/1401.6 (3.2)/(1.2) (5.1)/(2.2)	1439.5/1439.0 (360)/(147.5) (244)/(155.0)	in-plane: $v-\delta$ of all rings (r. B – weaker); O – almost immobile; p of H of all rings
– 1410 1410	1408 1407.5			
1441 1439 1440 1446	1439 1447	1449.8/1444.4 (4.3)/(0.8) (2.7)/(1.1)	1485.5/1483.0 (476)/(102.0) (131)/(81.0)	in-plane: strong $v-\delta$ of all rings (r. B – weaker); p of H of all rings; weak $v-\delta$ of all O
– 1463 1466	– 1461.5	1463.0/1464.9 (0.9)/(5.0) (0.2)/(2.0)	1499/1504.0 (101)/(640.5) (11)/(138.5)	in-plane: $v-\delta$ of all rings; O – almost immobile; p of H of all rings
	– –	1501.1/1498.0 (0.5)/(0.3) (5.1)/(3.8)	1538/1538.0 (58)/(39.5) (244)/(269.5)	in-plane: strong $v-\delta$ of r. A and C; r. B – very weak; p of H of r. A and C; weak $v-\delta$ of O of r. A and C
– 1531 1524 1523	– 1518.5	1523.5/1521.4 (0.8)/(0.9) (8.4)/(6.1)	1561/1562.0 (90)/(112.0) (401)/(429.0)	in-plane: strong $v-\delta$ of r. A and p of H of r. A; r. C – weak; r. B – very weak; O – almost immobile
1548 1549 1548	1548 –	1547.4/1544.3 (0.7)/(0.4) (3.4)/(2.4)	1585.5/1585.5 (73)/(50.0) (162)/(170.5)	in-plane: strong $v-\delta$ of r. B and p of H of r. B; r. A and C – weak; O – almost immobile
– 1562	– 1560.5			
1590 1590 1596	1591 1589sh	1598.7/1594.9 (7.8)/(5.5) (2.2)/(0.9)	1638/1637.5 (863)/(698.5) (106)/(63.0)	in-plane: strong $v-\delta$ of r. A and C, strong p and v of H of r. A and C; weak of r. B; strong v O (C4), the other O – almost immobile
1606 1608 1609 1611 1607 1616	1606 1604	1629.9/1630.5 (5.1)/(0.6) (8.4)/(0.3)	1670/1674.0 (562)/(70.0) (400)/(22.0)	in-plane: strong $v-\delta$ of all rings (decreasing from r. B to r. A); p and v of H; O – almost immobile

1	2	3	4	5
	–	1638.7/1632.4 (0.5)/(2.3) (7.6)/(10.0)	1679/1676.0 (53)/(294.0) (365)/(704.5)	in-plane: strong $\nu$ - $\delta$ of all rings; strong p of H; strong $\nu$ of O (C4); the others O – almost immobile
1665 1660 1662 1661 1667 1664	1660 1661	1651.4/1647.5 (10.0)/(10.0) (1.5)/(0.5)	1692/1691.5 (1108)/(1270) (72)/(37.5)	in-plane: strong $\nu$ - $\delta$ of r. B; r. C – weak; r. A – very weak; strong p of H of r. B; O – almost immobile
	–	1668.0/1662.6 (3.9)/(4.3) (8.1)/(6.0)	1709/1707 (434)/(545) (390)/(424)	in-plane: strong $\nu$ - $\delta$ of r. A and C; r. B – weak; strong p of H of r. A and C; weak of r. B; O – almost immobile
	–	1685.6/1681.6 (9.5)/(8.4) (6.5)/(4.7)	1727/1726.5 (1058)/(1062) (310)/(332)	in-plane: strong $\nu$ - $\delta$ of r. A and C; r. B – weak; strong p of H of r. A and C; weak of r. B; O – almost immobile
		The region 3200–3900 $\text{cm}^{-1}$		in-plane: strong $\nu$ -vibration of H in the bonds H–O and H–C (only one of H-atoms mostly vibrates)

**Notes:**

Abbreviations:  $\omega$  – frequency;  $I_{\text{Ram}}$  and  $I_{\text{IR}}$  – intensities of respectively Raman and IR-absorption lines; b – band; sh – band shoulder; r. A, B or C – ring A, B or C; “weak” and “strong” – vibrations with small and large displacements, respectively; vibrations:  $\nu$  – stretching;  $\delta$  – deformation;  $\nu$ - $\delta$  – some of C atoms in a ring perform  $\nu$ -vibrations, while the others perform  $\delta$ -vibrations (or a vibration is simultaneously  $\nu$  and  $\delta$ ), p – rocking.

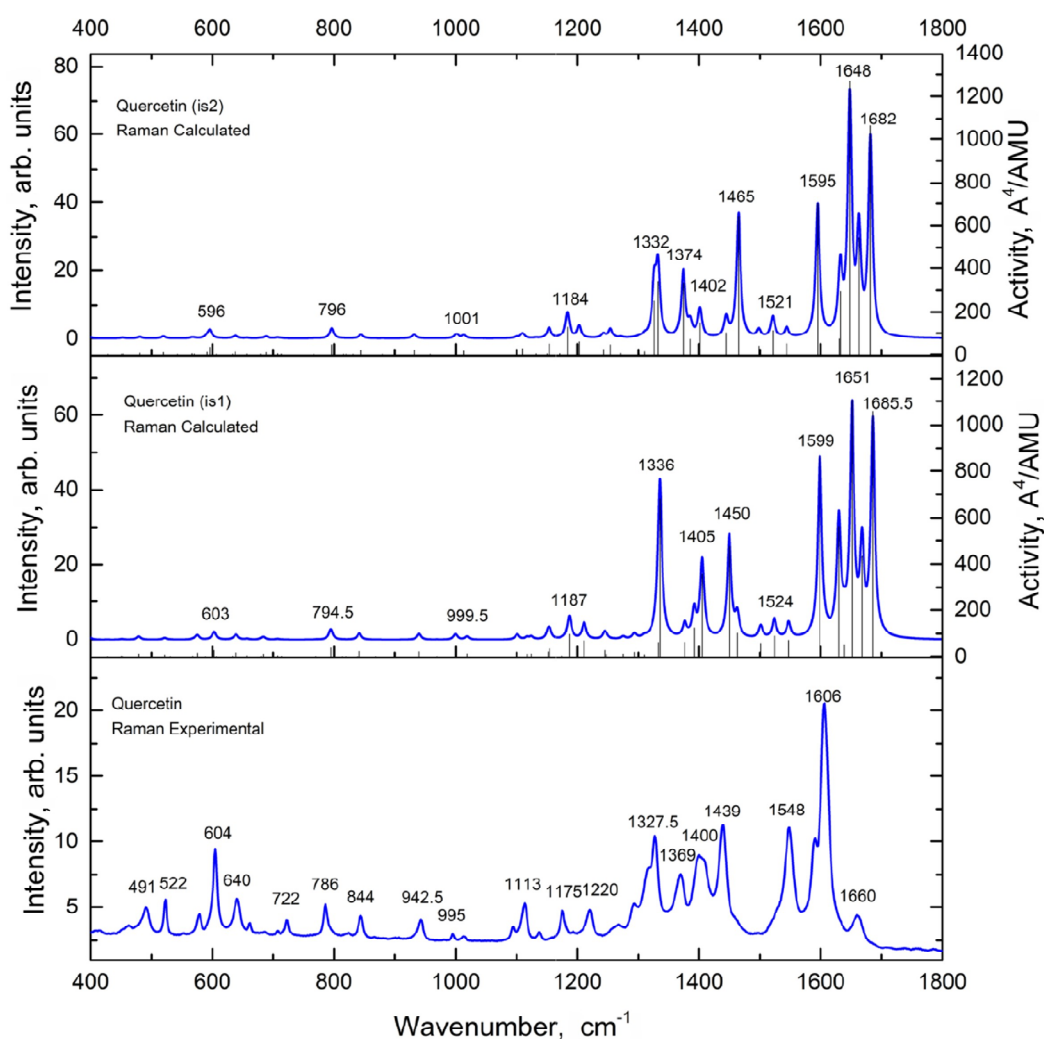
The relative intensities of lines are in brackets near the frequencies.

The frequency scaling factors are respectively 0.976 and 0.974 for the isomers is1 and is2.

The intensities of the modes located at 1692  $\text{cm}^{-1}$  in the Raman spectra and at 1679  $\text{cm}^{-1}$  (is2) and 1306  $\text{cm}^{-1}$  (is1) in the IR-absorption spectra are counted as being equal to 10. The modes with the relative intensities less than 0.1 are denoted as “vw” (“very weak”).

### 3. Results and discussion

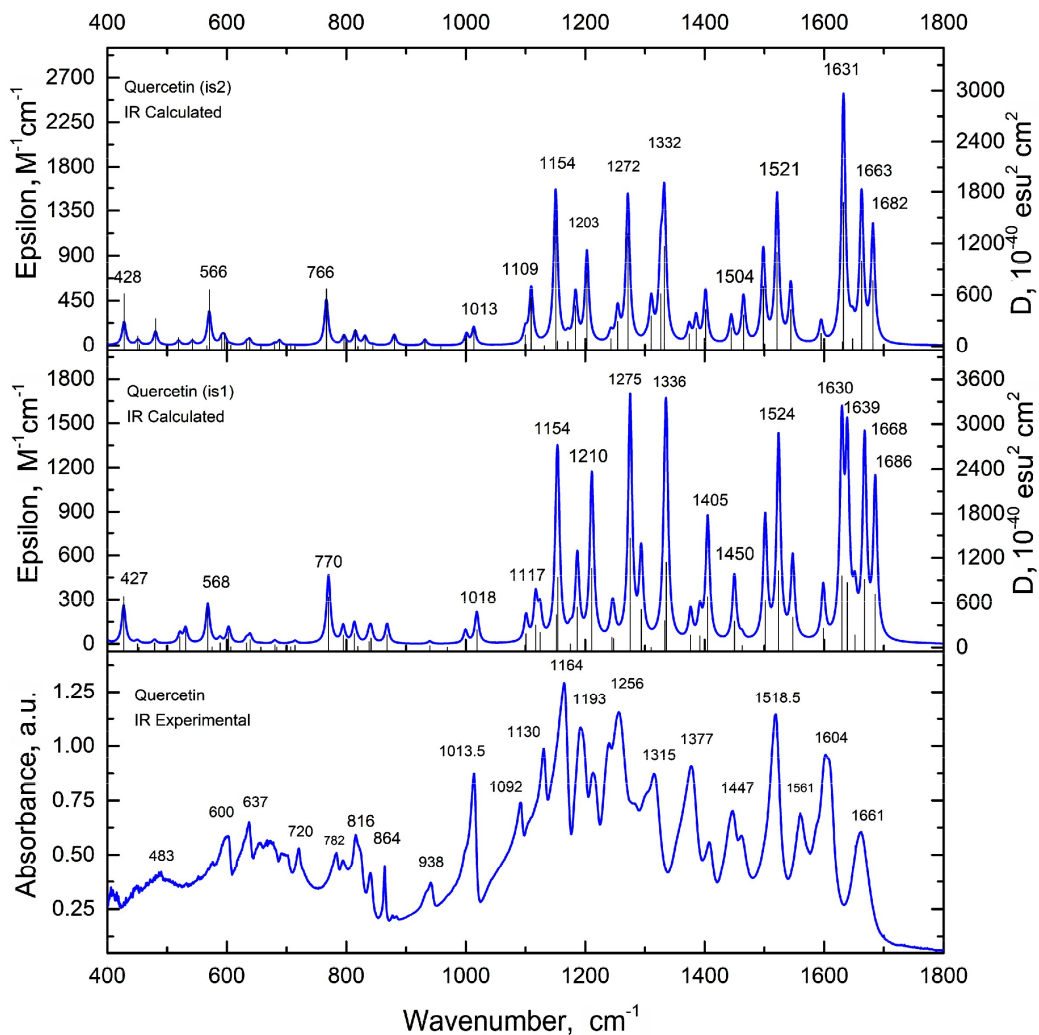
As already mentioned, the quercetin crystal  $C_{15}H_{10}O_7 \cdot 2H_2O$  is described by a triclinic symmetry (P1 and  $z = 2$ ) [32–37]. Due to a low symmetry, there are no degenerate vibrations in the vibrational spectra. The correspondence between the ‘crystalline’ and ‘molecular’ modes is unambiguous. The most essential difference between the spectra typical for ‘free’ and crystalline quercetin had to reveal itself in the region of very low frequencies (i.e., for so-called external vibrations). In the range of intermediate and high frequencies (i.e., internal vibrations), the influence of crystal structure is very weak. A duplication of the number of vibrations (because of the fact  $z = 2$ ) and some shift in the vibrational bands take place. However, the splitting is insignificant so it can be neglected in any practical situation. Therefore we believe that the comparison of the experimental vibrational spectra of microcrystalline quercetin with the spectra calculated for the quercetin molecule is fairly correct in our operation range  $400\text{--}1800\text{ cm}^{-1}$  (see Fig. 2 and Fig. 3). This fact has been further confirmed by a subsequent analysis.



**Fig. 2.** Raman spectra calculated for quercetin isomers is1 and is2 and corrected using the scaling factors (upper panels), and experimental Raman spectrum obtained in the range  $400\text{--}1800\text{ cm}^{-1}$  (lower panel). The excitation wavelength is  $\lambda_{\text{ex}} = 785\text{ nm}$ .



The calculated spectrum for the quercetin molecule,  $C_{15}H_{10}O_7$ , contains 90 ( $3 \cdot 32 - 6$ ) non-degenerate vibrations. About 60 of these vibrations lie in the region  $400\text{--}1700\text{ cm}^{-1}$  and  $\sim 15$  in the most relevant region  $1300\text{--}1700\text{ cm}^{-1}$ . The frequencies up to  $400\text{ cm}^{-1}$  correspond to the out-of-plane vibrations of the rings and/or attached atoms (or groups). The region  $400\text{--}1000\text{ cm}^{-1}$  contains both the out-of-plane and in-plane vibrations of the rings and the attached atoms, whereas only the in-plane vibrations of the aromatic rings and the attached atoms can be found above  $1000\text{ cm}^{-1}$ .



**Fig. 3.** IR-absorption spectra calculated for quercetin isomers is1 and is2 and corrected using the scaling factors (upper panels), and experimental IR-absorption spectrum obtained in the range  $400\text{--}1800\text{ cm}^{-1}$  (lower panel).

The high-frequency region,  $3200\text{--}3900\text{ cm}^{-1}$ , contains the modes corresponding to the valence vibrations of CH-bonds, with small displacements of the other atoms, mainly C. Here, we are more interested in the region  $1300\text{--}1700\text{ cm}^{-1}$ . The in-plane vibrations of quercetin in this region are the most intense. Moreover, resonance interactions of the vibrations of quercetin and the bases of large molecules (e.g., DNA and RNA) are possible in this region. It is in this region that we have earlier observed a resonance interaction of berberine and DNA vibrations in the Raman spectra of an aqueous berberine–DNA solution [48, 49].

The experimental and calculated Raman and IR-absorption spectra in the range 400–1800  $\text{cm}^{-1}$  are presented in Fig. 2 and Fig. 3, respectively. A fairly good correlation between the experimental and calculated Raman spectra is observed in the whole spectral range. This concerns both the frequencies and the intensities of vibrations. The correlation between the intensities of vibrations in the IR-spectra is somewhat worse. In the region up to 1200  $\text{cm}^{-1}$ , the intensities of the calculated vibrations are very small (by some orders of magnitude) when compared with the experimental data. It is worthwhile that some of weak calculated modes (e.g., that located at 1547  $\text{cm}^{-1}$ ) have been found to be high-intensity modes in the experimental spectra, while some modes calculated as intense ones have not been observed in the experimental spectra – or they have had very low intensities (e.g., the mode located at  $\sim 1650 \text{ cm}^{-1}$ ). Furthermore, a few lines of an unknown nature have been revealed in the experimental spectra. For instance, this concerns the lines located at 1560 and 1407.5  $\text{cm}^{-1}$  in the IR spectrum and the line at 1408  $\text{cm}^{-1}$  in the Raman spectrum.

We attribute some discrepancies between the experimental and calculated spectra to the fact that the calculations are usually based upon ions or molecules, while the experiments are conducted on crystallohydrates. Since dipolarity of the vibrations in IR-absorption processes is important, a presence of dipole water molecules (or OH-groups) in the samples might have led to dipole-dipole interactions with the molecules. The results of these interactions might be revealed in the IR-absorption spectra. The appropriate influence on the Raman spectra is weaker, since the Raman scattering processes are associated with the electronic system, and the effect of dipole-dipole interactions on the vibrational system is less direct.

The frequencies obtained from the experiment and the corresponding frequencies calculated using the scaling factors are presented in Table. We note that our experimental Raman spectrum appears to be quite similar to the Raman spectrum presented in the study [28]. In particular, the frequencies presented in Ref. [28] (16 modes) and Ref. [26] (8 modes) coincide very well (up to 1–2  $\text{cm}^{-1}$ ) with our data. The frequencies of the IR-modes reported in Ref. [27] also agree well with our data, although only for 4 modes. For a comparison, we have included in Table some of the results obtained by the other authors [21, 26–28].

#### 4. Conclusion

Summing up, in the present work we have calculated the Raman and IR-absorption spectra for the two planar isomers of the quercetin molecule, using the density-functional theory at the CAM B3LYP/6-311++G(d,p) level. The optimized geometry of the quercetin molecule has also been deduced. The results of calculations correlate fairly well with our experimental data obtained for microcrystalline quercetin in the spectral range 400–1800  $\text{cm}^{-1}$ . Note that this range is significant from the viewpoint of interaction of quercetin with DNA.

The interpretation of the Raman bands of quercetin suggested by us can be used when analyzing the interactions of quercetin with nuclei acids and some other biomolecules. We have also demonstrated that the density-functional method can be successfully used for this aim and manifests a satisfactory reliability. This can be utilized when calculating the vibrational spectra for the other flavonoid subgroups of polyphenols, for which it could be complicated (or even impossible for some reasons) to obtain the Raman vibrational spectra.

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**Анотація.** Для мікрокристалічного порошку кверцетину одержано експериментальні коливні спектри (комбінаційне розсіяння та ІЧ-поглинання) в спектральному діапазоні 400–1800 см<sup>-1</sup> за кімнатної температури. Оптимізовані геометрії двох стабільних ізомерів молекули кверцетину обчислено за методом функціоналу щільності на рівні SAMB3LYP/6-311++G(d,p). Вищезазначені ізомери мають майже площинну структуру каркасу і відрізняються дзеркальною орієнтацією одного з кілець щодо інших. На цьому ж рівні теорії в гармонічному наближенні обчислено коливні спектри ізомерів. Коефіцієнти масштабування, визначені експериментально для кожного з двох ізомерів, було використано в порівнянні розрахункових та експериментальних даних. Коливні спектри проінтерпретовано для всього дослідженого діапазону частот. Одержано високу кореляцію між експериментальними та розрахунковими коливними спектрами.