Anisotropy of acoustooptic figure of merit for TeO₂ crystals.
1. Isotropic diffraction

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Abstract. present the method for analyzing the anisotropy of acoustooptic figure of merit for optically uniaxial crystals and illustrate it on the example of crystalline paratellurite. This first part of the article deals with analysis of the isotropic acoustooptic interaction. The results of our calculations agree well with the experimental data known from the literature.

Keywords: acoustooptic figure of merit, effective elastooptic coefficients, paratellurite crystals, anisotropy

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1. Introduction

Crystalline tellurium dioxide or paratellurite, TeO₂, is optically uniaxial and positive, and belongs to tetragonal point symmetry group 422 [1]. It is characterized by high enough refractive indices (n₁ = 2.2597 and n₂ = 2.4119 at the light wavelength of λ = 632.8 nm [2]). TeO₂ crystal reveals a noticeable optical activity effect: its rotatory power is as large as (86.9±0.5) deg/mm at 632.8 nm [2]. Perhaps, the most important property of paratellurite is high acoustooptic (AO) efficiency. Therefore TeO₂ is one of the renown crystalline AO materials, being among such substances as mercurous halides (Hg₂Cl₂ and Hg₂Br₂) [3, 4] or chalcogenides (e.g., Tl₃PSe₄ [5]).

Earlier we have shown [6] that the AO prominence of paratellurite appears owing to ferroelasticity of this crystal. It exhibits a ferroelastic tetragonal-to-orthorhombic phase transition under high pressures [7]. The transition is accompanied by softening of the acoustic phonon which propagates along the direction [110] and is polarized parallel to [1̅T̅0] [8]. As a matter of fact, the maximum AO figure of merit (AOFM) M₂ at the normal conditions, which is equal to 1200×10⁻¹⁵ s³/kg [9], is just achieved in the case of anisotropic AO interaction with the acoustic wave (AW) described above. Notice also that the highest AOFM in TeO₂ crystals is observed when the light eigenwaves are almost circularly polarized. In other words, then the incident circularly polarized light propagates along the direction close to the optic axis where the natural optical activity affects essentially the eigenwave polarization [9]. When the incident optical wave of different polarizations interacts with the slowest AW, the AOFM of TeO₂ crystals is also very high. For example, the AOFM is decreased only down to (600−800)×10⁻¹⁵ s³/kg [9] for the AO interaction of arbitrarily (or linearly) polarized incident waves with the same shear AW.

Probably, the most comprehensive experimental data for the AOFM of TeO₂ crystals are presented in Ref. [10] (These data are collected for a comparison in Table 1 appearing in the final chapter of this work). However, all of those values have been reported only for the cases when the
propagation and polarization directions of the optical wave and the AW are parallel to the principal crystallographic directions. At the same time, one cannot exclude that still larger $M_2$ values can exist, which correspond to the interaction of waves propagating along arbitrary directions with respect to the crystallographic coordinate system. Let us consider this point in detail. The AOFM is given by the relation

$$M_2 = \frac{n^6 p_{ef}^2}{\rho v_{ij}},$$

(1)

where $\rho$ is the density of material, $n$ its refractive index, $v_{ij}$ the AW velocity (with the indices $i$ and $j$ corresponding to the AW propagation and polarization directions, respectively), and $p_{ef}$ the effective elastooptic coefficient (EEC). The main contribution to the AOFM comes from the AW velocity and the EEC. In principle, certain values of these parameters can provide an interaction geometry for which the highest $M_2$ value is reached. In particular, the highest AOFM values are known to be reached for the cases of interactions with the slowest AW.

On the other hand, the slowness of the AW makes a negative influence on the switching speed of AO devices. This means that searching for experimental geometries in which the AOFM is high enough due to high EEC but not a slowness of the AW represents an important problem. This analysis still expects developing of the appropriate techniques. Recently we have suggested an analytical approach for analyzing the spatial anisotropy of AOFM for the isotropic media and cubic crystals [11]. It has been shown that six different types of AO interactions are peculiar for the cubic crystals, each of these types being characterized by its own AOFM and revealing a certain anisotropy. Obviously, a number of the interaction types should increase for anisotropic optically uniaxial crystals, at least because the anisotropic types of AO diffraction should be involved into consideration.

The aim of the present work is to analyze the anisotropy of AOFM for TeO$_2$ crystals. This is based on a general analytical approach developed for assessing the spatial anisotropy of the $M_2$ parameter in the optically uniaxial crystals of point symmetry groups 4/mmm, 422, 42m and 4mm. These point groups are characterized by the same tensors of elastic stiffness and elastooptic coefficients. In this first part of the study we present the analysis for the cases of isotropic AO diffraction.

2. Results of analysis

For the crystals of the point symmetries 4/mmm, 422, 42m and 4mm, including the case of TeO$_2$, the elastic stiffness tensor contains six independent components (Voigt notation is used) $C_{11} = C_{22}$, $C_{12} = C_{23}$, $C_{33} = C_{44} = C_{55}$ and $C_{66} \neq (C_{11} - C_{12})/2$, while the elastooptic tensor has seven independent coefficients ($p_{11} = p_{22}$, $p_{12} = p_{21}$, $p_{13} = p_{23}$, $p_{31} = p_{32}$, $p_{33}$, $p_{44} = p_{55}$ and $p_{66} \neq (p_{11} - p_{12})/2$ [1]). When studying the anisotropy of $M_2$ coefficient for TeO$_2$ crystals, we will use the methods developed in our recent work [11]. Namely, we will construct and analyze cross sections of the surfaces of EEC, AW slowness and AOFM, which are obtained by rotating the interaction plane around $Z$ and $X$ axes that correspond to crystallographic axes $c$ and $a$, respectively. Notice that, for all of the point groups 4/mmm, 422, 42m and 4mm, the coordinate system $XYZ$ is associated with eigenvectors of the optical Fresnel ellipsoid, being coincident with the crystallographic system $abc$. 

To begin, let us consider the AW propagating in the XZ plane in TeO$_2$. The dependences of quasi-transverse AW velocities on the wave vector orientation in the XZ plane are as follows:

$$ v_{QZ}^2(\Theta) = \frac{(C_{11} + C_{44})\cos^2 \Theta + \sin^2 \Theta(C_{44} + C_{33}) - \sqrt{((C_{11} - C_{44})\cos^2 \Theta + (C_{44} - C_{33})\sin^2 \Theta)^2 + 4\cos^2 \Theta \sin^2 \Theta(C_{13} + C_{44})^2}}{2\rho}, $$

(2)

$$ v_{QZ}^2(\Theta) = \frac{C_{44}\cos^2 \Theta + C_{66}\sin^2 \Theta}{\rho}. $$

(3)

The same relation for the quasi-longitudinal AW velocity takes the form

$$ v_{QZ}^2(\Theta) = \frac{(C_{11} + C_{44})\cos^2 \Theta + \sin^2 \Theta(C_{44} + C_{33})}{2\rho} + \frac{\sqrt{((C_{11} - C_{44})\cos^2 \Theta + (C_{44} - C_{33})\sin^2 \Theta)^2 + 4\cos^2 \Theta \sin^2 \Theta(C_{13} + C_{44})^2}}{2\rho}, $$

(4)

where $\Theta$ is the angle between the AW vector and the $X$ axis in the XZ plane, i.e. the angle of rotation of the AW vector around the $Y$ axis (see Fig. 1a). For the other interaction planes rotated by some angles $\varphi_Z$ around the $Z$ axis (i.e., in the new coordinate systems $X'Y'Z$), the structure of the elastic stiffness tensor change, too. The new components of this tensor can be determined after rewriting this tensor in the new coordinate system according to a known procedure [1]. Performing this procedure for the elastic stiffness tensor, one can see that the components $C_{13}^\prime$, $C_{23}^\prime = C_{13}^\prime$, $C_{33}^\prime$, $C_{44}^\prime$ and $C_{55} = C_{44}$ remain the same, whereas the dependences of the coefficients $C_{11}^\prime(\varphi_Z)$, $C_{22}^\prime(\varphi_Z) = C_{11}^\prime(\varphi_Z)$, $C_{12}^\prime(\varphi_Z)$ and $C_{66}^\prime(\varphi_Z)$ on the angle $\varphi_Z$ are described as

$$
\begin{align*}
C_{11}^\prime(\varphi_Z) &= C_{11} - \frac{1}{2}(C_{11} - C_{12} - 2C_{66})\sin^2 2\varphi_Z \\
C_{12}^\prime(\varphi_Z) &= C_{12} + \frac{1}{2}(C_{11} - C_{12} - 2C_{66})\sin^2 2\varphi_Z \\
C_{66}^\prime(\varphi_Z) &= C_{66} + \frac{1}{2}(C_{11} - C_{12} - 2C_{66})\sin^2 2\varphi_Z \\
C_{16}^\prime(\varphi_Z) &= -\frac{1}{4}(C_{11} - C_{12} - 2C_{66})\sin 4\varphi_Z
\end{align*}
$$

(5)

The new elastic stiffness coefficients $C_{16}^\prime$ and $C_{26}^\prime$ appear in the tensor thus rewritten.

One can notice that the expressions for the components of the Christoffel tensor for each of the $X'Z$ planes remain the same as for the initial $XZ$ plane. Substituting Eq. (5) into Eqs. (2)–(4), one obtains the AW velocities for all of the possible AW vector directions. As seen from Eq. (5), the presence of four-fold axis among the symmetry operations causes both the optical and acoustic equivalences of $a$ and $b$ directions and, as a result, the crystallographic planes $ac$ and $bc$ are also equivalent [1].

Rotation of the interaction plane by the angle $\varphi_X$ around the $X$ axis (see Fig. 1b) will change the expressions for the components of the Christoffel tensor and the elastic stiffness coefficients $C_{13}^\prime$, $C_{33}^\prime$ and $C_{44}^\prime$. The Christoffel tensor will become much more complicated. Then the AW velocities can be obtained using standard numeric techniques.
Anisotropy of the acoustic and elastooptic properties of TeO$_2$ crystals allows a variety of possible types of AO interactions. Actually, we will demonstrate that there are nine different types of AO interactions in TeO$_2$ crystals. They include six types of isotropic interactions and three types of anisotropic interactions between the AWs and the optical waves. Regarding the isotropic interactions, one has the following interaction types.

Type (I): the AO interaction of the longitudinal AW propagating in the $ZX'$ or $Z'X$ planes, and the incident optical wave with polarization of ordinary beam which is perpendicular to the interaction plane;

Type (II): the AO interaction of the longitudinal AW propagating in the $ZX'$ or $Z'X$ planes, and the incident optical wave, with polarization of extraordinary beam, electric induction vector of which lies in the interaction plane at the angle $\theta_B$ with respect to the $X$ or $X'$ axis ($D_1 = D\sin \theta_B$ and $D_1 = D\cos \theta_B$, with $D$ denoting the electric induction and $\theta_B$ the Bragg angle);

Type (III): the AO interaction of the transverse AW QT$_1$ propagating in the $Z'X$ or $ZX$ planes and polarized in the same planes, and the incident optical wave, with polarization of extraordinary beam, of which polarization vector lies in the interaction plane at the angle $\theta_B$ with respect to the $X$ or $X'$ axis ($D_1 = D\sin \theta_B$ and $D_1 = D\cos \theta_B$);

Type (IV): the AO interaction of the transverse AW QT$_1$ and the incident optical wave with polarization of ordinary beam which is perpendicular to the interaction plane;

Type (V): the AO interaction of the transverse AW QT$_2$ propagating along the $X$ ($X'$) axis and polarized along the $Y$ ($Y'$) axis, and the incident optical wave, with polarization of extraordinary beam, of which polarization vector lies in the interaction plane at the angle $\theta_B$ with respect to the $X$ or $X'$ axis ($D_1 = D\sin \theta_B$ and $D_1 = D\cos \theta_B$);

Type (VI): the AO interaction of the AW QT$_2$ and the incident optical wave with polarization of ordinary beam which is perpendicular to the interaction plane.

Since TeO$_2$ reveals large natural birefringence, the anisotropic types of interaction can be easily implemented in this material. There are three AO interaction types of the incident optical wave with the longitudinal AW and the two normal transverse AWs in TeO$_2$. These types of interaction will be considered in detail in the second part of this work.

When the AW induces changes in the extraordinary refractive index $n_e$ due to elastooptic effect, the wave vector diagram will change for every new propagation direction of the AW in the
interaction planes (see Fig. 2a). Namely, this will change the Bragg angle, the lengths of the wave vectors of the incident and diffracted light waves, and the AW vector. The angle of orientation of the AW vector will change, too. These changes will impose changes in the induced elastic strain tensor components and, as a consequence, they will affect the EEC value. However, if we fix the Bragg angle, the angle \( \Theta \) of inclination of the AW vector may be found using the relation between the tangential angle \( \psi \) and the polar angle \( \xi \) (see Fig. 3):

\[
\cot \psi = -\frac{a^2 \tan \xi}{b^2}, \quad \Theta = \psi - 180, \tag{6}
\]

where \( a \) and \( b \) stand for the semi axes of the Fresnel ellipsoid. Notice that for the known optically uniaxial crystalline materials, the deviations of the angle \( \Theta \) from the value corresponding to the isotropic materials is small enough, since the elliptical cross section of the Fresnel ellipsoid surface is very close to a circle.

Let us now consider in much detail the AO interaction type (I) when the quasi-longitudinal AW \( v_{11} = v_{\text{QL}} \) propagating in the \( XZ \) plane interacts with the incident optical wave with the electric induction vector parallel to the \( Y \) axis. Suppose first that the longitudinal AW \( v_{11} = v_{\text{QL}} \) propagates along the \( X \) direction. Then the electric field of the diffracted optical wave and the acoustically induced increment of the refractive index are as follows:
\[ E_2 = \Delta B_2 D_2 = p_{21} e_1 D_2, \]  
\[ \Delta n_2 = \frac{1}{2} n_0 p_{21} e_1. \]  

Rotation of the AW vector in the XZ plane means that we are to rewrite the strain tensor including the only component \( e_1 \) in the coordinate system rotated around the \( Y \) axis by the angle \( \Theta \). Then the three tensor components appear:

\[ e_1' = e_1 \cos^2 \Theta, \quad e_3' = e_1 \sin^2 \Theta, \quad e_2' = e_1 \sin \Theta \cos \Theta. \]  

As a result, the EEC is given by.

\[ p^{(1)}_{eff} = p_{21} \cos^2 \Theta + p_{23} \sin^2 \Theta, \quad (p_{21} = p_{12}, p_{23} = p_{13}). \]  

Under rotation of the interaction plane around the \( Z \) axis by the angle \( \phi_2 \), the deformation tensor components and the EEC will change to \( e_1'' = e_1' \cos^2 \phi_2, \quad e_2'' = e_1' \sin^2 \phi_2, \quad e_3'' = e_3', \quad e_4'' = -e_3' \sin \phi_2, \quad e_5'' = e_3' \cos \phi_2, \quad e_6'' = -0.5e_1' \sin \phi_2 \cos \phi_2 \) and

\[ p^{(1)}_{eff} = \sin^2 \phi_2 \left( p_{11} \cos^2 \Theta \cos^2 \phi_2 + p_{12} \cos^2 \Theta \sin^2 \phi_2 + p_{13} \sin^2 \Theta \right) \]
\[ + \cos^2 \phi_2 \left( p_{21} \cos^2 \Theta \cos^2 \phi_2 + p_{22} \cos^2 \Theta \sin^2 \phi_2 + p_{23} \sin^2 \Theta \right). \]

Under rotation of the interaction plane around the \( X \) axis by the angle \( \phi_3 \), the deformation tensor components and the EEC may be written as,

\[ e_1''' = e_1', \quad e_2''' = e_3' \sin^2 \phi_3, \quad e_3''' = e_3' \cos^2 \phi_3, \quad e_4''' = -0.5e_3' \sin \phi_3 \cos \phi_3, \quad e_5''' = e_3' \cos \phi_3, \quad e_6''' = -e_3' \sin \phi_3 \] and

\[ p^{(1)}_{eff} = \left[ \frac{\sin \phi_3 \cot(\Theta + \theta_b)}{\sqrt{1 + \sin^2 \phi_3 \cot^2(\Theta + \theta_b)}} \right]^2 \]
\[ \times \left( p_{11} \cos^2 \Theta + p_{12} \sin^2 \Theta \sin^2 \phi_3 + p_{13} \sin^2 \Theta \cos^2 \phi_3 \right) + \]
\[ + \left[ \frac{1}{\sqrt{1 + \sin^2 \phi_3 \cot^2(\Theta + \theta_b)}} \right]^2 \]
\[ \times \left( p_{21} \cos^2 \Theta + p_{22} \sin^2 \Theta \sin^2 \phi_3 + p_{23} \sin^2 \Theta \cos^2 \phi_3 \right) + \]
\[ + 2p_{66} \left[ \frac{2 \sin \phi_3 \cot(\Theta + \theta_b)}{1 + \sin^2 \phi_3 \cot^2(\Theta + \theta_b)} \right]^2 \cos^2 \Theta \]

Then the AOFM for the type (I) of AO interaction becomes as follows:

\[ M^{(1)}_2 = \frac{n^6 \left| p^{(1)}_{eff} \right|^2}{\rho^{(1)}_{\nu_{QL}(\Theta, \phi_2, \phi_3)}}, \]

were \( \nu_{QL}(\Theta, \phi_2, \phi_3) \) defines the change in the AW velocity occurring in the \( X'Z' \) or \( XZ' \) planes.

As seen from Fig. 4 and Fig. 5, the anisotropy of the AOFM arises from the anisotropy of EEC. The parameter \( M^{(1)}_2 \) reaches its maximum \( 33.3 \times 10^{-15} \text{s}^3/\text{kg} \) at \( \Theta = 90 \text{ deg} \) and \( \phi_2 = 0 \) and 180 deg, i.e. for the directions of AW propagation where the EEC \( p^{(1)}_{eff} \) approach maximal value.
Fig. 4. Dependences of EEC $p_{ij}^{(0)}$ (a), AW slowness (b) and AOFM $M_{ij}^{(0)}$ (c) on the direction of AW vector (angle $\Phi$) at different orientations of the interaction plane ($\phi_z$ is angle of rotation around the Z axis).
**Fig. 5.** Dependences of EEC $p_{ef}^{(1)}$ (a), AW slowness (b) and AOFM $M_2^{(1)}$ (c) on the direction of AW vector (angle $\Theta$) at different orientations of the interaction plane ($\varphi_X$ is angle of rotation around the $X$ axis).
Let us proceed to the type (II) of AO interactions, when the longitudinal AW \( v_{11} = v_{QL} \) propagating along the \( X \) axis interacts with the optical wave, of which electric induction vector lies in the \( XZ \) plane at the angle \( \theta_B \) with respect to the \( X \) axis (\( D_3 = D\sin\theta_B \) and \( D_1 = D\cos\theta_B \)). Here \( \theta_B \) is chosen to be equal to 4 deg (see Ref. [11]). The electric field of the diffracted optical wave is given by

\[
\begin{align*}
E_1 &= \Delta B_2 D_1 = p_{11}\varepsilon_1 D_1 \\
E_3 &= \Delta B_2 D_3 = p_{33}\varepsilon_1 D_3.
\end{align*}
\]

The strain tensor components involved are given by Eqs. (9). The EEC for the interaction plane \( XZ \) reads as

\[
\begin{align*}
\rho_{\text{ef}}^{(II)} &= \cos^2(\theta_B + \Theta)[p_{11}\cos^2\Theta + p_{13}\sin^2\Theta] + \\
&\quad + \sin^2(\theta_B + \Theta)[p_{31}\cos^2\Theta + p_{33}\sin^2\Theta] + 2p_{55}\sin\left(2(\theta_B + \Theta)\right)\sin\Theta\cos\Theta.
\end{align*}
\]

The relation for \( \rho_{\text{ef}}^{(II)} \) in arbitrary \( XZ \) plane of interaction is as follows:

\[
\begin{align*}
\rho_{\text{ef}}^{(II)} &= \cos^2\varphi_Z \left\{ \cos^2(\theta_B + \Theta)\left[p_{11}\cos^2\Theta\cos^2\varphi_Z + p_{12}\cos^2\Theta\sin^2\varphi_Z + p_{13}\sin^2\Theta\right] \\
&\quad + \sin^2(\theta_B + \Theta)\left[p_{31}\cos^2\Theta\cos^2\varphi_Z + p_{32}\cos^2\Theta\sin^2\varphi_Z + p_{33}\sin^2\Theta\right] \\
&\quad + 2p_{55}\sin\left(2(\theta_B + \Theta)\right)\sin\Theta\cos\Theta \right\} \\
&\quad + \sin^2(\theta_B + \Theta)\left[p_{21}\cos^2\Theta\cos^2\varphi_Z + p_{22}\cos^2\Theta\sin^2\varphi_Z + p_{23}\sin^2\Theta\right] \\
&\quad + \sin^2(\theta_B + \Theta)\left[p_{11}\cos^2\Theta\cos^2\varphi_Z + p_{12}\cos^2\Theta\sin^2\varphi_Z + p_{13}\sin^2\Theta\right] \\
&\quad + 2p_{55}\sin\left(2(\theta_B + \Theta)\right)\sin\Theta\cos\Theta.
\end{align*}
\]

Similarly, the \( \rho_{\text{ef}}^{(II)} \) parameter for arbitrary \( XZ' \) plane of interaction may be represented as

\[
\begin{align*}
\rho_{\text{ef}}^{(II)} &= (1 - \cos^2\varphi_X\cos^2(\Theta + \theta_B)) \\
&\times \left[p_{31}\cos^2\Theta + p_{32}\sin^2\Theta\sin^2\varphi_X + p_{33}\sin^2\Theta\cos^2\varphi_X\right] \\
&\quad + \cos^2\varphi_X\cos^2(\Theta + \theta_B) \\
&\times \left[p_{11}\cos^2\Theta + p_{12}\sin^2\Theta\sin^2\varphi_X + p_{13}\sin^2\Theta\cos^2\varphi_X\right] + \\
&\quad + \sqrt{\cos^2\varphi_X\cos^2(\Theta + \theta_B)\cos^2(\Theta + \theta_B)\cos^2\varphi_X\sin 2\Theta}.
\end{align*}
\]

The peculiarity of this AO interaction type is that the AOFM almost does not depend on the angle of rotation of the interaction plane around the \( Z \) axis.

Finally, the AOFM for the type (II) of AO interaction is given by the relation

\[
M_{20}^{(II)} = \frac{n^6 \left(\rho_{\text{ef}}^{(II)}\right)^2}{\rho \left[v_{QL}(\Theta, \varphi_{Z, X})\right]^3}, \quad (18)
\]

As seen from Fig. 6 and Fig. 7, this AO interaction type is characterized by higher AOFM value. Its value found for the \( XZ' \) plane is about \( M_{20}^{(II)} = 69.4 \times 10^{-35} s^3/\text{kg} \) at \( \Theta = 111, 291 \) deg and \( \varphi_X = 0 \) and 180 deg (see Fig. 7). The anisotropy of AOFM is mainly influenced by the anisotropy of EEC.

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Now let us consider the type (III) of AO interaction of the optical wave, whose polarization vector lies in the $XZ$ plane at the angle $\theta_B$ with respect to the $X$ axis, with the transverse AW $v_{13} = v_{QI}$ propagating along the $X$ axis and polarized along the $Z$ axis. Here we have $D_1 = D \sin \theta_B$ and $D_2 = D \cos \theta_B$, and the strain tensor caused by the AW contains a single component $e_5$. When the AW vector direction changes in the $XZ$ plane by the angle $\Theta$, the following components of the strain tensor appear:

$$e'_1 = e_5 \sin 2\Theta, \quad e'_3 = -e_5 \sin 2\Theta, \quad e'_5 = e_5 \cos 2\Theta.$$  

The dependence of the EEC on the AW vector direction in the $XZ$ plane is given by

$$p^{(III)}_{\text{ef}} = \cos^2(\theta_B + \Theta) \left[ p_{11} - p_{13} \right] \sin \Theta \cos \Theta + \sin^2(\theta_B + \Theta) \left[ p_{31} - p_{33} \right] \sin \Theta \cos \Theta + p_{55} \sin(2(\theta_B + \Theta)) \left[ \cos^2 \Theta - \sin^2 \Theta \right].$$  

Fig. 6. Dependences of EEC (a) and AO FM $M^{(III)}_2$ (b) on the direction of AW vector at different orientations of the interaction plane ($\phi_Z$ is angle of rotation around the $Z$ axis).
For arbitrary $XZ$ and $XZ'$ planes, the components of deformation tensor are as follows:

$$
e^{e_1} = e_1' \cos^2 \varphi_Z, \quad e^{e_2} = e_1' \sin^2 \varphi_Z, \quad e^{e_3} = e_3', \quad e^{e_4} = -e_5' \sin \varphi_Z, \quad e^{e_5} = e_5' \cos \varphi_Z, \quad e^{e_6} = -0.5 e_1' \sin \varphi_Z \cos \varphi_Z$$

and

$$
\text{and} \quad e^{e_1} = e_1', \quad e^{e_2} = e_3' \sin^2 \varphi_X, \quad e^{e_3} = e_3' \cos^2 \varphi_X, \quad e^{e_4} = -0.5 e_3' \sin \varphi_X \cos \varphi_X, \quad e^{e_5} = e_5' \cos \varphi_X, \quad e^{e_6} = -e_5' \sin \varphi_X.
$$

The relation (20) should be generalized to

$$
p^{(III)}_{ef} = \cos^2 \varphi_Z \left[ \cos^2 (\theta_B + \Theta) (p_{11} \cos^2 \varphi_Z + p_{12} \sin^2 \varphi_Z - p_{13}) \sin \Theta \cos \Theta + 
+ \sin^2 (\theta_B + \Theta) (p_{31} \cos^2 \varphi_Z + p_{32} \sin^2 \varphi_Z - p_{33}) \sin \Theta \cos \Theta + 
+ p_{55} \sin (2(\theta_B + \Theta)) (\cos \Theta - \sin \Theta)) \right] 
+ \sin^2 \varphi_Z \cos^2 (\theta_B + \Theta) \left[ p_{21} \cos^2 \varphi_Z + p_{22} \sin^2 \varphi_Z - p_{23} \right] \sin \Theta \cos \Theta + 
+ \sin^2 (\theta_B + \Theta) \left[ p_{21} \cos^2 \varphi_Z + p_{22} \sin^2 \varphi_Z - p_{23} \right] \sin \Theta \cos \Theta + 
+ p_{55} \sin (2(\theta_B + \Theta)) (\cos \Theta - \sin \Theta)) \right] \right].
$$

Fig. 7. Dependences of EEC (a) and AOFM $M^{(III)}_2$ (b) on the direction of AW vector (angle $\Theta$) at different orientations of the interaction plane ($\varphi_X$ is angle of rotation around the $X$ axis).
for arbitrary $XZ$ plane, whereas for arbitrary $XZ'$ plane, Eq. (20) is replaced by
\[ p_{\text{eff}}^{(\text{III})} = (1 - \cos^2 \phi_X \cos^2 (\Theta + \theta_b))((p_{31} + p_{32} \sin^2 \phi_X - p_{33} \cos^2 \phi_X) \sin \Theta \cos \Theta + \cos^2 \phi_X \cos^2 (\Theta + \theta_b) (p_{11} + p_{12} \sin^2 \phi_X - p_{13} \cos^2 \phi_X) \sin \Theta \cos \Theta + p_{55} (1 - \cos^2 \phi_X \cos^2 (\Theta + \theta_b)) \cos (\Theta + \theta_b)^2 \cos \phi_X (\cos^2 \Theta - \sin^2 \Theta)) \].

(22)

Then the AOFM reads as
\[ M_2^{(\text{III})} = \frac{n^6 \left( p_{\text{eff}}^{(\text{III})} \right)^2}{\rho \left[ \nu_{\Omega T} (\Theta, \phi_{ZX}) \right]^3}. \] (23)

As seen from Fig. 8, 9 the EEC and the coefficient $M_2^{(\text{III})}$ manifest petal-like dependences on the AW vector direction in the $XZ$ and $XZ'$ planes. This type of interaction is characterized by highest AOFM values which can reach the maximum $M_2^{(\text{III})} = 1143.8 \times 10^{-15} \text{s}/\text{kg}$ at $\phi_X = 90 \text{ deg}$ and $\Theta = 48.7, 131.3, 228.7$ and $311.3 \text{ deg}$ (see Fig. 9). The anisotropy of AOFM is a result of the anisotropy of EEC and AW slowness. However, the high value of the $M_2$ parameter is due to relative slowness of the AW QT$_1$.

The fourth type of AO interaction can be implemented in TeO$_2$ crystals whenever the QT$_1$ wave interacts with the optical wave with polarization of the ordinary beam. After rotating the AW vector by the angle $\Theta$, the following strain tensor components arise:
\[ e'_1 = e_5 \sin 2\Theta, \quad e'_3 = -e_5 \sin 2\Theta, \quad e'_5 = e_5 \cos 2\Theta. \] (24)

The corresponding change in the refractive index is then
\[ \Delta n = \frac{1}{2} n_0^3 (p_{21} e'_1 + p_{23} e'_3). \] (25)

The EEC reads as
\[ p_{\text{eff}}^{(\text{IV})} = (p_{12} - p_{13}) \sin 2\Theta. \] (26)

The same relation for AO interaction in the $XZ$ plane is given by
\[ p_{\text{eff}}^{(\text{IV})} = \sin^2 \phi_Z \left(p_{11} \cos^2 \phi_Z + p_{12} \sin^2 \phi_Z - p_{13}\right) \cos \Theta \sin \Theta + \cos^2 \phi_Z \left(p_{21} \cos^2 \phi_Z + p_{22} \sin^2 \phi_Z - p_{23}\right) \cos \Theta \sin \Theta + p_{66} \sin^2 2\phi_Z \cos \Theta \sin \Theta, \] (27)

whereas for the $XZ'$ plane we have
\[ p_{\text{eff}}^{(\text{IV})} = \left[ \frac{1}{\sin \phi_X \cot (\Theta + \theta_b)} \right]^2 \left(p_{11} + p_{12} \sin^2 \phi_X - p_{13} \cos^2 \phi_X\right) \cos \Theta \sin \Theta + \frac{1}{\sin \phi_X \cot (\Theta + \theta_b)} \left(p_{21} + p_{22} \sin^2 \phi_X - p_{23} \cos^2 \phi_X\right) \cos \Theta \sin \Theta + \frac{1}{1 + \sin^2 \phi_X \cot^2 (\Theta + \theta_b)} \sin 2\phi_X \cos \Theta \sin \Theta \] (28)

As a result, the AOFM becomes as follows:
\[ M_2^{(\text{IV})} = \frac{n^6 \left( p_{\text{eff}}^{(\text{IV})} \right)^2}{\rho \left[ \nu_{\Omega T} (\Theta, \phi_{ZX}) \right]^3}. \] (29)
Fig. 8. Dependences of EEC (a), AW slowness (b) and AOFM $M_{2}^{(III)}$ (c) on the angle $\Theta$ for different orientations of AO interaction plane ($\varphi_{Z}$ is angle of rotation around the $Z$ axis).
Fig. 9. Dependences of EEC (a), AW slowness (b) and AOFM $M_2^{(III)}$ (c) on the angle $\Theta$ for different orientations of AO interaction plane ($\varphi_X$ is angle of rotation around the X axis).
Similarly to the previous cases, the EEC and the coefficient $M_2^{(IV)}$ show petal-like dependences on the AW vector direction in the $XZ$ plane (see Fig. 10 and Fig. 11). Notice that the EEC surface contains sections that differ by their signs. The AOFM reaches its maximum $M_2^{(IV)} = 892.5 \times 10^{-15} \text{s}^3/\text{kg}$ at $\varphi = 90 \text{ deg}$ and $\Theta = 44, 136, 224$ and $316 \text{ deg}$ (see Fig. 11b). The anisotropy of AOFM is caused by the both anisotropies of EEC and AW velocities.

Let us analyze the AO interaction of optical waves with the AW QT$_2$ ($v_{i2} = v_{02}$) propagating along the $X$ axis and polarized along the $Y$ axis, which produces the strain tensor component $e_6$. Depending on the orientation of electric induction of the incident light wave (i.e., availability of the components $D_1$, $D_1 = D \sin \theta_\phi$ and $D_2 = D \cos \theta_\phi$ whenever the interaction plane is $XZ$ plane), the AO interactions of the types (V) or (VI) are dealt with. Regarding the type (V), the strain tensor includes the two components dependent upon the AW vector orientation:

**Fig. 10.** Dependences of EEC (a) and AOFM $M_2^{(IV)}$ (b) on the angle $\Theta$ for different orientations of AO interaction plane ($\varphi_2$ is angle of rotation around the $Z$ axis).
Fig. 11. Dependences of EEC (a) and AOFM $M_{2}^{(V)}$ (b) on the angle $\Theta$ for different orientations of AO interaction plane ($\varphi_i$ is angle of rotation around the $X$ axis).

$$e_6' = 2e_{12}\cos\Theta, \quad e_4' = -2e_{12}\sin\Theta.$$  \hspace{1cm} (30)

Then the increment of the optical-frequency impermeability tensor and the corresponding increment of the refractive index reduce respectively to

$$\Delta B_2 = p_{26}\epsilon_6\cos\Theta = 0$$  \hspace{1cm} (31)

and

$$p_{ef}^{(V)} = p_{26}\cos\Theta = 0.$$

At the rotation of the interaction plane around the $Z$ axis the components of deformation tensor are $e_{1}^* = e_{6}\sin\varphi_Z\cos\varphi_Z$, $e_{2}^* = -e_{6}\sin\varphi_Z\sin\varphi_Z$, $e_{3}^* = 0$, $e_{4}^* = e_{4}\cos\varphi_Z$, $e_{5}^* = e_{4}\sin\varphi_Z$ that lead to:
\[
\Delta B^*_2 = 0.5 \sin^2 \varphi_Z (p_{11} - p_{12}) \cos \Theta \sin 2\varphi_Z \\
+ 0.5 \cos^2 \varphi_Z (p_{11} - p_{12}) \cos \Theta \sin 2\varphi_Z , \\
+ p_{66} \sin 2\varphi_Z \cos \Theta \cos 2\varphi_Z \\
\Delta n = -\frac{1}{2} n_c^3 (0.5 \sin^2 \varphi_Z (p_{11} - p_{12}) \cos \Theta \sin 2\varphi_Z \\
+ 0.5 \cos^2 \varphi_Z (p_{11} - p_{12}) \cos \Theta \sin 2\varphi_Z , \\
+ p_{66} \sin 2\varphi_Z \cos \Theta \cos 2\varphi_Z)
\]

with the EEC being equal to
\[
p_{ef}^{(V)} = 0.5 \sin^2 \varphi_Z (p_{11} - p_{12}) \cos \Theta \sin 2\varphi_Z \\
+ 0.5 \cos^2 \varphi_Z (p_{11} - p_{12}) \cos \Theta \sin 2\varphi_Z \\
+ 0.5 p_{66} \sin 2\varphi_Z \cos \Theta \cos 2\varphi_Z
\]

Finally, rotation of the interaction plane around the X axis results in deformation tensor components
\[
e''_1 = e'_4 \sin \varphi_X \cos \varphi_X , \quad e''_3 = e'_4 \cos \varphi_X \sin \varphi_X , \quad e''_1 = 0 , \quad e''_4 = e'_4 \cos 2\varphi_X , \\
e''_5 = e'_6 \sin \varphi_X , \quad e''_6 = e'_6 \cos \varphi_X .
\]

Then the EEC is given by relation:
\[
p_{ef}^{(V)} = \left[ \frac{\sin \varphi_X \cot(\Theta + \theta_b)}{1 + \sin^2 \varphi_X \cot^2(\Theta + \theta_b)} \right]^2 (p_{12} - p_{13}) \sin \Theta \sin \varphi_X \cos \varphi_X \\
+ \left[ \frac{1}{1 + \sin^2 \varphi_X \cot^2(\Theta + \theta_b)} \right]^2 (p_{22} - p_{23}) \sin \Theta \sin \varphi_X \cos \varphi_X , \\
+ 0.5 p_{66} \left[ \frac{2 \sin \varphi_X \cot(\Theta + \theta_b)}{1 + \sin^2 \varphi_X \cot^2(\Theta + \theta_b)} \right] \cos \Theta \cos \varphi_X
\]

Then the AOFM is as follows:
\[
M_2^{(V)} = \frac{n^6 \left( p_{ef}^{(V)} \right)^2}{\rho \left[ v_{QZ} (\Theta, \varphi_Z, X) \right]^3}.
\]

The AOFM becomes the highest for the direction where the AW is the slowest \( M_2^{(V)} = 753.3 \times 10^{-15} \text{s}^3/\text{kg} \) at \( \varphi_Z = 45 \) or 135 deg and \( \Theta = 0 \) or 180 deg. The dependences of the \( M_2^{(V)} \) coefficient on the angles \( \varphi_Z \) and \( \Theta \) are depicted in Fig. 12. As seen from Fig. 12, the dependence of AOFM in the \( XZ \) plane on the angle \( \Theta \) is similar to that of the \( p_{ef}^{(V)} \) parameter. Notice that \( p_{ef}^{(V)} \) is equal to zero at \( \varphi_Z = 90 \) and 270 deg, when the AO diffraction does not occur at all. It is also interesting that the EEC surface consists of sections with the opposite signs.
\[ p^{(v)} \]

\[ \varphi = 20 \text{ and } 110 \text{ deg} \]
\[ \varphi = 45 \text{ and } 135 \text{ deg} \]
\[ \varphi = 60 \text{ and } 150 \text{ deg} \]
\[ \varphi = 80 \text{ and } 170 \text{ deg} \]

\[ X/\varphi \]

\[ v^{-1}, 10^{-1} \text{ s/m} \]

\[ \varphi = 20 \text{ deg} \]
\[ \varphi = 45 \text{ deg} \]
\[ \varphi = 60 \text{ deg} \]
\[ \varphi = 80 \text{ deg} \]

\[ M^{(v)}_{2}, 10^{-15} \text{ s}^3/\text{kg} \]

\[ \varphi = 20 \text{ and } 110 \text{ deg} \]
\[ \varphi = 45 \text{ and } 135 \text{ deg} \]
\[ \varphi = 60 \text{ and } 150 \text{ deg} \]
\[ \varphi = 80 \text{ and } 170 \text{ deg} \]

**Fig. 12.** Dependences of EEC \((a)\) AW slowness \((b)\) and AOFM \(M^{(v)}_{2}\) on the angle \(\Theta\) for different orientations of AO interaction plane (\(\varphi_{2}\) is angle of rotation around the Z axis).
Fig. 13. Dependences of EEC (a) AW slowness (b) and AOFM $M_{2}^{(V)}$ (c) on the angle $\Theta$ for different orientations of AO interaction plane ($\varphi_{X}$ is angle of rotation around the X axis).
Fig. 14. Dependences of EEC (a) and AOFM $M^{(VI)}_2$ (b) on the angle $\Theta$ for different orientations of AO interaction plane ($\varphi_Z$ is angle of rotation around the Z axis).

Our final step is to consider the type (VI) of AO interaction when the polarizations of the incident and diffracted optical waves belong to the $X'Z$ plane. According to Eqs. (30), the increment of the refractive index induced by the strains appearing due to the AW, and the EEC are given by

$$\Delta n = -\frac{1}{2} n_e^3 (\cos^2 (\theta_B + \Theta) p_{16}) \kappa_e \cos \Theta = 0,$$

$$p^{(VI)}_{ef} = (\cos^2 (\theta_B + \Theta) p_{16}) \cos \Theta = 0,$$

The dependence of EEC on the angle $\varphi_Z$ is as follows:

$$p^{(VI)}_{ef} = \cos^2 \varphi_Z [\cos^2 (\theta_B + \Theta) (p_{11} - p_{12}) \cos \Theta \cos \varphi_Z \sin \varphi_Z] + \sin^2 \varphi_Z [\cos^2 (\theta_B + \Theta) (p_{11} - p_{12}) \cos \Theta \cos \varphi_Z \sin \varphi_Z] + 0.5 p_{66} \sin 2\varphi_Z \cos \Theta \cos 2\varphi_Z.$$

Rotation of the interaction plane around the $X'$ axis results in...
\[ P_{\text{ef}}^{(VI)} = (1 - \cos^2 \varphi_X \cos^2 (\Theta + \theta_b)) (p_{32} - p_{33}) \sin \Theta \sin \varphi_X \cos \varphi_X + \cos^2 \varphi_X \cos^2 (\Theta + \theta_b) (p_{12} - p_{13}) \sin \Theta \sin \varphi_X \cos \varphi_X , \] (40)

\[ M_2^{(VI)} = \frac{n^6 \left| P_{\text{ef}}^{(VI)} \right|^2}{\rho \left[ \nu_{\text{OT}} (\Theta, \varphi_Z, \varphi_X) \right]^{3/2}}. \] (41)

As seen from Fig. 14 and Fig. 15, the AOFM \( M_2^{(VI)} \) reaches its maximal equal to \( 1102.9 \times 10^{-15} \text{ s}^3/\text{kg} \) at \( \Theta = 0 \) or 180 deg and \( \varphi_Z = 45 \) deg.

![Fig. 15. Dependences of EEC (a) and AOFM \( M_2^{(VI)} \) (b) on the angle \( \Theta \) for different orientations of AO interaction plane (\( \varphi_X \) is angle of rotation around the \( X \) axis).](image)

3. Conclusions

Table 1 represents the AOFM values calculated for different geometries of isotropic AO interactions in TeO\(_2\) crystals, using the technique described above. One can notice good agreement...
of the calculated values and the experimental data reported in Ref. [10]. Some small differences among those results may be caused by the fact that our calculations do not account for non-orthogonality (or non-longitudity) of polarizations of the AWs, as well as the influence of piezoelectric effect on the AW velocities. Nonetheless, we conclude that the method developed in the present work is applicable for calculating the anisotropy of AOFM in optically uniaxial crystalline materials. Table 2 summarizes the maximal AOFM values typical for the six different types of isotropic AO interactions possible in TeO$_2$.

Table 1. Conditions of isotropic AO interactions and experimental AO parameters of TeO$_2$ crystals reported in Ref. [10], together with the corresponding parameters calculated in this work.

<table>
<thead>
<tr>
<th>Propagation direction</th>
<th>Polarization direction</th>
<th>Propagation direction</th>
<th>Polarization direction</th>
<th>Optical wave</th>
<th>EEC</th>
<th>Experimen tal AOFM, 10$^{-15}$ s$^2$/kg</th>
<th>Calculated AOFM, 10$^{-15}$ s$^2$/kg</th>
</tr>
</thead>
<tbody>
<tr>
<td>[100]</td>
<td>[100]</td>
<td>[101]</td>
<td>[100]</td>
<td>$p_{11}$</td>
<td>0.048</td>
<td>0.044</td>
<td></td>
</tr>
<tr>
<td>[100]</td>
<td>[100]</td>
<td>[010]</td>
<td>[100]</td>
<td>$p_{11}$</td>
<td>10.6</td>
<td>8.0</td>
<td></td>
</tr>
<tr>
<td>[001]</td>
<td>[001]</td>
<td>[110]</td>
<td>[100]</td>
<td>$p_{13}$</td>
<td>34.5</td>
<td>33.3</td>
<td></td>
</tr>
<tr>
<td>[001]</td>
<td>[001]</td>
<td>[110]</td>
<td>[010]</td>
<td>$p_{33}$</td>
<td>25.6</td>
<td>24.7</td>
<td></td>
</tr>
<tr>
<td>[110]</td>
<td>[110]</td>
<td>[010]</td>
<td>[100]</td>
<td>$p_{31}$</td>
<td>3.77</td>
<td>3.0</td>
<td></td>
</tr>
<tr>
<td>[110]</td>
<td>[110]</td>
<td>[101]</td>
<td>[001]</td>
<td>$p_{31}$</td>
<td>33.4</td>
<td>32.1</td>
<td></td>
</tr>
<tr>
<td>[110]</td>
<td>[010]</td>
<td>[010]</td>
<td>[010]</td>
<td>$p_{13}$</td>
<td>793</td>
<td>928*</td>
<td></td>
</tr>
<tr>
<td>[110]</td>
<td>[010]</td>
<td>[001]</td>
<td>[001]</td>
<td>$p_{33}$</td>
<td>77.7</td>
<td>55.0</td>
<td></td>
</tr>
</tbody>
</table>

*M$_2$ is calculated as the mean value of AOFM’s which are equal to 1102.9 $\times 10^{-15}$ s$^2$/kg and 753.3 $\times 10^{-15}$ s$^2$/kg.

Table 2. Maximal values of AOFM $M_2$ calculated for different types of AO interactions in TeO$_2$ crystals and description of the corresponding geometries.

<table>
<thead>
<tr>
<th>Type of AO interaction</th>
<th>Propagation direction of AW Angle $\Theta$, deg</th>
<th>Angle $\varphi_{z,x}$, deg</th>
<th>Type of AW</th>
<th>Polarization of incident optical wave</th>
<th>AOFM $M_2$, 10$^{-15}$ s$^2$/kg</th>
</tr>
</thead>
<tbody>
<tr>
<td>(I)</td>
<td>90</td>
<td>$\varphi_z = 0$ and 180</td>
<td>QL</td>
<td>parallel to [010] direction</td>
<td>33.8</td>
</tr>
<tr>
<td>(II)</td>
<td>111, 291</td>
<td>$\varphi_z = 0$ and 180</td>
<td>QL</td>
<td>almost parallel to the direction of propagation of the AW</td>
<td>69.4</td>
</tr>
<tr>
<td>(III)</td>
<td>48.7, 131.3, 228.7 and 311.3</td>
<td>$\varphi_z = 90$</td>
<td>QT$_1$</td>
<td>almost parallel to [001] direction</td>
<td>1143.8</td>
</tr>
<tr>
<td>(IV)</td>
<td>44, 136, 224 and 316</td>
<td>$\varphi_z = 90$</td>
<td>QT$_1$</td>
<td>almost parallel to [110] direction</td>
<td>892.5</td>
</tr>
<tr>
<td>(V)</td>
<td>0 and 180</td>
<td>$\varphi_z = 45$ and 135</td>
<td>QT$_2$</td>
<td>parallel to [110] direction</td>
<td>753.3</td>
</tr>
<tr>
<td>(VI)</td>
<td>0 and 180</td>
<td>$\varphi_z = 45$</td>
<td>QT$_2$</td>
<td>almost parallel to [110] direction</td>
<td>1102.9</td>
</tr>
</tbody>
</table>
As seen from Table 2, the maximal AOFM characteristic for the AO interaction with the quasi-longitudinal wave is not high enough, being equal to \(69.4 \times 10^{-11} \text{s/kg}\). This value corresponds to the type (II) of AO interactions. Higher \(M_2\) values are typical for the types (III), (IV), (V) and (VI) of AO interactions with the QT1 and QT2 waves. Following from our AO anisotropy analysis performed for all of the cases of isotropic diffractions in TeO2 crystals, we conclude that the highest \(M_2\) value \((M_2^{(III)} = 1143.8 \times 10^{-11} \text{s/kg})\) is reached when we deal with the type (III) of AO interaction. Then the incident optical wave polarized almost parallel to [001] direction and propagating in the \(XY\) plane (i.e., in the crystallographic plane \(ab\)) interacts with the quasi-transverse AW QT propagating in the \(ab\) plane almost parallel to [110] direction and polarized parallel to \([\bar{T}10]\) direction. This high \(M_2\) value represents a combined consequence of both high EEC value and a slowness of the AW.

References


Анонізація. Запропоновано метод аналізу анізотропії коефіцієнта акустооптичної якості для оптично одновісних кристалів на прикладі кристалічного парателуриту. В першій частині статті представлено результати аналізу ізотропної акустооптичної взаємодії. Дані розрахунків добре узгоджуються з відомими експериментальними результатами.